

#16

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Sheet

1

of

8

Application Number

09/801,360

Filing Date

03/06/2001

First Named Inventor:

Edward L. Schwartz

Art Unit

2624

Examiner Name

Wenpeng Chen

Attorney Docket Number

074451.P127D4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
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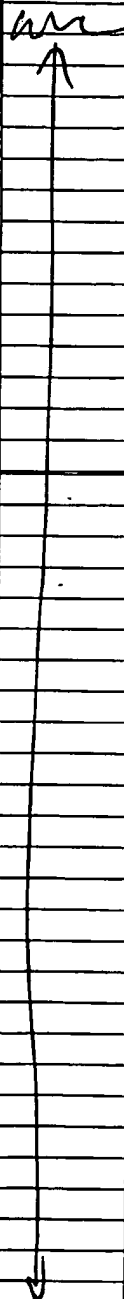
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Application Number	09/801,360
Filing Date	03/06/2001
First Named Inventor:	Edward L. Schwartz
Art Unit	2624
Examiner Name	Wenpeng Chen
Attorney Docket Number	074451.P127D4

Sheet 2 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code² (if known)				
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8/16/04

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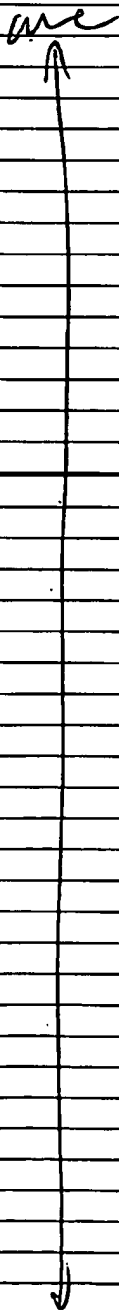

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U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)					
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PRIMARY EXAMINER

Wenpeng Chen 8/16/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor:	Edward L. Schwartz
Art Unit	2624
Examiner Name	Wenpeng Chen
Attorney Docket Number	074451.P127D4

Sheet	4	of	8
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Examiner Signature	WENPENG CHEN PRIMARY EXAMINER <i>Wenpeng Chen</i>	Date Considered	8/16/04
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FOREIGN PATENT DOCUMENTS

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Date Considered

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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ²
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WENPENG CHEN

PRIMARY EXAMINER

Based on Form PTO/SB/088 (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

8/16/04

attached to #19

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 09/801,360
 Filing Date 03/06/2001
 First Named Inventor: Edward L. Schwartz
 Art Unit 2624
 Examiner Name Wenpeng Chen
 Attorney Docket Number 074451.P127D4

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Sheet 7 of 8

NON PATENT LITERATURE DOCUMENTS

Technology Center 2600

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
me		GHARAVI, et al., "Sub-band Coding of Digital Images Using Two-Dimensional Quadrature Mirror Filtering", SPIE Vol. 707 Visual Communications and Image Processing, 1986, p. 51-61.	
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		POLLARA et al., "Rate-distortion Efficiency of Subband Coding with Integer Coefficient Filters", 7/1994, pg. 419, Information Theory, 1994, IEEE.	
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PRIMARY EXAMINER

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

8/16/04 attached to #119

Substitute for Form 1449/PTO		APR 9 2004		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/801,360
				Filing Date	03/06/2001
				First Named Inventor:	Edward L. Schwartz
				Art Unit	2624
				Examiner Name	Wenpeng Chen
Sheet	8	of	8	Attorney Docket Number	074451.P127D4

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
am	✓	SHAH, et al., "A Chip Set for Lossless Image Compression", <u>IEEE Journal of Solid-State Circuits</u> , Vol. 26, No. 3, March 1991, pp. 237-244.	-
↑	✓	SHAPIRO, J. M., "An Embedded Hierarchical Image Coder Using Zerotrees of Wavelet Coefficients", <u>IEEE</u> , 1993, pp. 214-223.	-
	✓	SHAPIRO, J. M., "Embedded Image Coding Using Zerotrees of Wavelet Coefficients", <u>IEEE Transactions on Signal Processing</u> , 12/93, No. 12, pp. 3445-3462.	-
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	✓	VETTERLI, Martin, "Multi-Dimensional Sub-band Coding: Some Theory and Algorithms", <u>Signal Processing 6</u> (1984) pp. 97-112.	-
	✓	VILLASENOR, et al., "Filter Evaluation and Selection in Wavelet Image Compression", <u>IEEE</u> , 1994, pp. 351-360.	-
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	✓	WOODS, "Subband Image Coding", 1991, pages 101-108, 163-167, and 180-189.	-
	✓	WOODS, et al., "Subband Coding of Images", <u>IEEE Transactions on Acoustics, Speech, and Signal Processing</u> , Vol. 1 ASSP-34, No. 5, October 1986, pp. 1278-1288.	-
	✓	WOODS, et al., "Sub-band coding of Images", <u>Proceedings ICASSP 86</u> , Tokyo, Japan, April 1986, p. 1005-1008.	-
	✓	WU, et al., "New Compression Paradigms in JPEG2000", <u>Applications of Digital Image Processing XXIII</u> , San Diego, CA USA, July 31-Aug 3, 2000, vol. 4115, pp. 418-429, XP008013391, <u>Proceedings of the DPPIE - The International Society for Optical Engineering</u> , 2000, SPIE-Int. Soc. Opt. Eng., USA.	-
me	✓	XIONG, et al., "Joint Optimization of Scalar and Tree-structured Quantization of Wavelet Image Decompositions", 01/11/93, pp. 891-895.	-

Examiner Signature	WENPENG CHEN PRIMARY EXAMINER	Date Considered	8/16/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. *attached to #19*

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Substitute for Form 1449/APTO (Modified)		Technology Center 2600		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known		
		Application Number	09/801,360	
		Filing Date	3/6/01	
		First Named Inventor:	Edward L. Schwartz	
		Group Art Unit	2185	
		Examiner Name	Not Yet Assigned	
Sheet	2	of	Attorney Docket Number	74451.P127D4
OTHER ART - NO PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
<i>me</i>	5	CHRYSAFIS, CHRISTOS, et al. "Line Based Reduced Memory, Wavelet Image Compression," Data Compression Conference, 1998, DCC '98, Proceedings Snowbird, UT, March 1998, pgs. 398-407.		
<i>me</i>	6	GORDON, BENJAMIN M., et al., "A 1.2 mW Video-Rate 2-D Color Subband Decoder," IEEE Journal of Solid-State Circuits, IEEE Inc. New York, Vol. 30, No. 12, Dec. 1, 1995, pgs. 1510-1516.		
<i>me</i>	7	MENG, TERESA H., "A Wireless Portable Video-on-Demand System," VLSI Design, 1998, Proceedings Eleventh International Conference on Chennai, India 407, Jan. 1998, California, pgs. 4-9.		

Examiner Signature	<i>Wenpeng Chen</i>	WENPENG CHEN PRIMARY EXAMINER	Date Considered	2/18/04
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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